TS349: Pattern Recognition

1/2 - Pattern Descriptors

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2024-2025

3A Électronique / Option TSI

Introduction

• Vocabulary

(shape, pattern, descriptor, feature extraction, local, dense, keypoints, invariance, supervised, unsupervised, etc...)

- Basic principles of pattern recognition methods for image analysis.
- Basic principles of data classification using unsupervised and supervised methods.
- Implementation and evaluation of some approaches for pattern recognition.

Pattern Descriptors

Course	Shape descriptors & extraction	1h20
Practical nº1	Shape recognition (Hough Transform)	4h
Course	Pattern descriptors & Dimension reduction	2h
Practical nº2	Texture classification (LBP, HOG)	3h20

Classification Methods

Course	Unsupervised classification	1h20
Practical nº1	Point cloud clustering	4h
Course	Supervised classification	1h20
Practical nº2	Digits classification	4h

Evaluation Practicals and tests (x0.5) + Final exam (x0.5) 1h30 (04/11)

In English: "Pattern Recognition" In French: "Reconnaissance de Formes"

• A **shape** or **pattern** are simplified representations of a entity of the external world by an object manipulated by the computer (graph, vector, word, ...).

Examples: Characters, Digital print, Facial photography, Voice signal, ...

• Pattern Recognition (PR) consists of defining models allowing the automation of artificial perception tasks usually performed by the brain and the human sensory system.

Examples:

- Learn to recognize different patterns extracted from one or more observations
- Propose decisions based on the specificities of patterns
- In this course, focus on PR in image analysis that includes the study of:
 - Shape/forme, binary shape that can be defined by its contour
 - **Pattern**/*motif* = Region + {Properties} (color, orientation, repetition)

Applications et sectors

Production chains	- Posture control - Defect detection - Adaptation of treatments (fruit size, etc.)
Civil	 Text recognition (OCR) (postal sorting, radar control) Autonomous driving Home automation (voice recognition)
Life and earth sciences	 Recognition of fossil or organic species Satellite imagery (crops, natural disasters,)
Security	 Detection of prohibited objects at boarding gates Biometrics (fingerprints, vocal, retinal, facial,) Target identification and tracking
Robotics	- Navigation
Medicine	- Anomaly detection - Surgical assistance

There are many examples of complex situations where the machine recognition process can fail:

- Contours based on human perception
- Information too degraded, noise, blur, illumination
- High intra-class variability
- High inter-class proximity, for example B and 13 have a similar shape



 \rightarrow Learning process to identify the issues and understand the machine perception on these examples



What would be the desired (or not) descriptor properties?

A "good" descriptor should provide: "similar" values for "similar" patterns "different" values for "different" patterns

Properties:

- Rotation invariance: be able to identify the object, regardless of its orientation
- Translation invariance: even if the object is moved to a different location, it should be detected
- Scale invariance: should work even if the image is zoomed in or out
- Illumination invariance: should work even if there is change in brightness and contrast in the image
- Robustness to noise, deformations, occlusions
- Need for parameter tuning
- Time to compute
- Size
- ...

What would be the desired (or not) classifier properties?

A "good" classifier should accurately predict the class corresponding to an input descriptor

Properties:

- Accuracy (on what evaluation metric?)
- Allowing errors
- Use/need of learning data
- Robustness to outliers (very different features compared to the dataset)
- Binary decision/class probabilities
- Fast to train/apply
- Need for parameter tuning

Different contexts of shape/pattern recognition in image analysis



- ...

Introduction

- 2 Shape descriptors
 - Contour descriptors
 - Region descriptors
 - Hough Transform
 - Practical nº1
- **3** Pattern descriptors
 - Dense Feature Extraction
 - Keypoints/Local descriptors
 - Global descriptors
 - Block-wise descriptors
- **4** Dimension reduction
 - Curse of Dimensionality
 - Principal Component Analysis
 - Application example
 - Practical nº2

Shape descriptors

Introduction

2 Shape descriptors

Contour descriptors Region descriptors Hough Transform Practical nº1

3 Pattern descriptors

Dense Feature Extraction Keypoints/Local descriptors Global descriptors Block-wise descriptors

- **4** Dimension reduction
 - Curse of Dimensionality
 - Principal Component Analysis
 - Application example
 - Practical nº2

Shape Recognition

• Detection:

Localization and segmentation of the shape



• Description:

Describe the shape with an appropriate set of features (descriptor)

• Identification/Classification/Recognition:

Find the class of the shape according to the problem (binary decision, class probabilities)



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Taxonomy



Contour vs Region

- Contour descriptor: Sequence of points (1D)

 Cartesian, complex or polar representation.
 Freeman chain coding, polygons, etc...
 - Ambiguity on proximity of contour points
- Region descriptor: Pixel matrix (2D)
 - Geometric, moments, hull, skeletization, ...
 - Not equivalent to the contour-based approach









Definition: Coding of pixel movements along the contour of a shape **Motivation:** Shapes can be entirely described by their contours. Such coding may serve to describe binary images.



• **Coding:** code = starting point + {movements}

Start from random point A, continue until coming back to A



• Resolution: Limited number of local direction bits



• Relative coding: Coding of the change of direction instead of the direction

code = starting point + first movement + {direction changes}

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$$- \underbrace{\bullet}_{0} - \underbrace{\bullet}_{1} - \underbrace{\bullet}_{2} - \underbrace{\bullet}_{3} + \underbrace{\bullet}_{2} - \underbrace{\bullet}_{5} - \underbrace{\bullet}_{6} - \underbrace{\bullet}_{7} - \underbrace{\bullet}_{7$$

 \rightarrow To reduce the entropy in the chain code (may increase the number of 0)

• Relative coding: Coding of the change of direction instead of the direction

 $code = starting point + first movement + {direction changes}$



 \rightarrow To reduce the entropy in the chain code (may increase the number of 0)

Properties

Translation invariant "Rotation" (multiple of 360/P degrees) by addition (modulo P) "Dilatation" by repetition Inversion (central symmetry) by complement

• Comparison of chain codes

By measure of similarity of character strings Editing cost or distances (substitution, destruction, insertion) Wagner and Fisher algorithm, ...

• Generalized version for point sequences

Example



Definition: 1D vector representing a contour in polar space $(\boldsymbol{\rho}, \boldsymbol{\theta})$

Method:

Place the center of the system on the gravity center (\bar{x}, \bar{y})

For each angle $\theta \in [-\pi,\pi]$, compute the distance ρ to the closest point



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For each angle $\theta \in [-\pi,\pi]$, compute the distance ρ to the closest point



Properties:

Invariance to translation Rotation by translation of angles Only adapted to star-convex shapes

Signature

Examples:



Definition: The Fourier Transform of the shape's contour points

The contour is seen as a periodic sequence of N points $\{x_n, y_n\}$

• Complex form:

$$c_n = (x_n - \bar{x}) + j(y_n - \bar{y})$$

• Fourier Transform:

$$C_k = \frac{1}{N} \sum_{n=0}^{N-1} c_n \exp^{-2j\pi k \frac{n}{N}} \quad k \in [0, ..., N-1]$$

• Fourier Descriptors:

$$\mathsf{FD} = \left\{ \frac{|C_2|}{|C_1|}, ..., \frac{|C_{N/2}|}{|C_1|} \right\}$$

Properties:

Relation between the number of coefficients and the details of approximation The coefficients are ordered by their contributions (low \rightarrow high frequency) Invariance to translation, rotation, and scaling



Reconstruction: Shape approximation by limited number K of FT coefficients

Inverse FT:
$$c_n = \sum_{n=0}^{N-1} C_k \exp^{2j\pi n \frac{k}{N}}$$
 with $n \in [0, ..., N-1]$

Low frequency components C_k with $k \approx 0$ and $k \approx N-1$ mainly contribute Example with N = 60:



Example with N = 560:



Contour descriptors

Different methods with their advantages and limitations

- Freeman chain code
- Signature
- Fourier Descriptors
- See also Curve-based approaches:

Tangent Sequence Curvature Scale Space

 \rightarrow Complementary approach: Region descriptors

For a discrete 2D shape ${\boldsymbol{S}}$

• Bounding box: The smallest rectangle that contains the shape



• Convex Hull: The smallest convex polygon that contains the shape

Convex property: each line segment between two points of the polygon remains inside the polygon



• Skeleton: Center of the tangential disks (inscribed) with maximal diameter

 $\underbrace{\mathbb{P}}$

Can also be seen as "fire stopping line"



Examples:



 \rightarrow The contour has an important impact on the skeleton

 \rightarrow Used in physics and mechanics, medical image analysis (bronchus, eye retina), computer graphics (animation), path finding, etc.

Geometric

• Area and perimeter:

Area A(S) = Number of pixels in S

Perimeter P(S) = Number of contour pixels (outside) S



 \rightarrow Very sensitive to sampling for small objects

• Diameter: Largest distance between two points of S



• Compacity/Circularity:

Isoperimetric quotient
$$C(S) = \frac{4\pi A(S)}{P(S)^2}$$

$$S = \frac{s}{a + b + a}$$

$$C(S) = 1 \quad C(S) = \frac{4\pi s^2}{(4s)^2} = \frac{\pi}{4} \quad C(S) \approx \frac{1}{2} \left(\frac{a}{b} + \frac{b}{a}\right) \qquad C(S) << 1$$

 \rightarrow Invariant to rotation and scale (only in continuous domain)

• Lengthening:

$$L(S) = \frac{\text{radius of the largest inscribed circle}}{\text{radius of the smallest circumscribed circle}}$$

Geometric

• Concavity: Ratio between the perimeters of the shape and its convex hull

$$\mathsf{Conc.}(S) = \frac{P(S)}{P(H(S))}$$

• Rectangularity:

Ratio of the width w and height h of a rotated minimum area bounding rectangle R_{θ}

$$\operatorname{Rect.}(S) = \max_{\theta} \frac{w}{h} \quad \text{with} \quad w > h$$

• Eccentricity/Elongation:

Ratio of the major a and minor b axis of a rotated minimum area bounding ellipse e_{θ}

$$\mathsf{Ecc.}(S) = \max_{\theta} \frac{a}{b} \quad \text{with} \quad a > b$$



. . .



Moments

With f the binary image (0=background, 1=shape) of size $h \times w$

• Space moments:

$$M_{pq}(S) = \frac{1}{hw} \sum_{x=1}^{w} \sum_{y=1}^{h} x^{p} y^{q} f(x, y) \quad \text{at order} \quad p+q$$

- Order 0: Surface

$$M_{00} = \frac{A(S)}{hw}$$

- Order 1: Gravity center

$$\begin{cases} \bar{x} = \frac{M_{10}}{M_{00}}\\ \bar{y} = \frac{M_{01}}{M_{00}} \end{cases}$$
Moments

• Centered moments: (translation invariant)

$$\mu_{pq}(S) = \frac{1}{hw} \sum_{x=1}^{w} \sum_{y=1}^{h} (x - \bar{x})^{p} (y - \bar{y})^{q} f(x, y) \quad \text{at order} \quad p + q$$

- Order 2: Inertia matrix

$$\begin{split} \theta &= \frac{1}{2} {\rm arctan} \frac{2\mu_{11}}{\mu_{20} - \mu_{02}} \text{ orientation} \\ e &= \frac{\sqrt{(\mu_{20} - \mu_{02})^2 + 4\mu_{11}}}{\mu_{20} + \mu_{02}} \quad \text{eccentricity} \end{split}$$



• Normalized moments: (invariant to scale)

$$\eta_{pq} = \frac{\mu_{pq}}{\mu_{00}^{\gamma}} \qquad \text{with} \quad \gamma = \frac{p+q}{2} + 1 \quad \text{for} \quad p+q \geqslant 2$$

• Hu moments: Combination of normalized moments of orders 2 and 3

Other Moments

- Other base moments:
 - Legendre polynomial
 - Zernike polynomial
 - Angular Radial Transform (MPEG-7)

$$F_{nm} = \int_{\theta=0}^{2\pi} \int_{\rho=0}^{1} V_{nm}(\rho,\theta) f(\rho,\theta) \rho d\rho d\theta$$





$$V_{nm}(\rho,\theta) = A_{m}(\theta)R_{n}(\rho) \longrightarrow R_{n}(\rho) = \begin{cases} 1 & n = 0\\ 2cos(\pi n\rho) & n \neq 0 \end{cases}$$

$$A_{m}(\theta) = \frac{1}{2\pi}e^{jm\theta} \int_{2}^{0} \frac{1}{2}e^{jm\theta} \int_{2}^{0} \frac{1}{2}e^{jm\theta} \frac{1}{2}e^{jm$$

Question: Possible definition of a *regularity* criteria for a shape?

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What is a regular shape? What are its properties? How to measure them?

Regular shape?



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Regular shape? Convex?



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What is a regular shape? What are its properties? How to measure them?



• **Convexity:** Overlap with the convex hull: $Conv.(S) = \frac{A(S)}{A(H(S))}$

Question: Possible definition of a regularity criteria for a shape?



- **Convexity:** Overlap with the convex hull: $Conv.(S) = \frac{A(S)}{A(H(S))}$
- Smoothness: Ratio with the convex hull perimeter: $\frac{1}{Conc.(S)} = \frac{P(H(S))}{P(S)}$

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- **Balance:** Ratio of pixel position variances: $\sqrt{\frac{\min(\sigma_x, \sigma_y)}{\max(\sigma_x, \sigma_y)}}$

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- Balance: Ratio of pixel position variances: $\sqrt{\frac{\min(\sigma_x,\sigma_y)}{\max(\sigma_x,\sigma_y)}}$
- Shape Regularity Criteria: (SRC) Multiplication of the 3 previous criteria

Hough Transform (HT)*

Definition: Detection of lines (or other shapes) in a contour image

Motivation: Many objects are characterized by their edges



*Hough, Machine Analysis of Bubble Chamber Pictures, Proc. Int. Conf. High Energy Accelerators and Instrumentation, 1959

Considers a binary contour image

Can be easily obtained with filter detection methods (Canny, Sobel) or more advanced techniques including supervised and deep learning



Lines can be difficultly extracted from contour image due to:

- Partial information: missing points in a line
- Unnecessary information: contour points not belonging to a line
- The presence of noise

Idea: Searching the information in the line parameter space (m,b)

A line in the image space corresponds to a point in the Hough space



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The line passing by (x_0, y_0) and (x_1, y_1) corresponds to the intersection between the lines $-x_0m + y_0$ and $-x_1m + y_1$



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 \rightarrow Adding the lines in the Hough space for each point of the contour map.

 \rightarrow The most probable lines in the image are defined by the highest values

Accumulation of the lines in the Hough space

The space (m,b) needs to be discretized

Trade-off between accuracy and over-detection















In practice, the bounded polar space $(oldsymbol{
ho},oldsymbol{ heta})$ is used

$$y = mx + b \Leftrightarrow x\cos(\theta) + y\sin(\theta)$$



*Duda et al., Use of the Hough Transformation to Detect Lines and Curves in Pictures, Comm. ACM, 1972

Polar coordinates

A point in (x,y) becomes a sinusoid in (ρ, θ) A line in (x,y) is still a point in (ρ, θ)











A point in (x,y) becomes a sinusoid in $(\boldsymbol{\rho}, \boldsymbol{\theta})$



• Hough Circle Transform (HCT):

Applications: Detection of faces, road signs, default or impacts on lenses, aneurysms detection on angiograms, ...

Equation of a circle of center (a, b) and radius r: $(x - a)^2 + (y - b)^2 = r^2$

If r is known, the parameter space is (a,b) (same dimension as (x,y)) In this space, a circle in (x,y) is represented by a point


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Method: For each contour map pixel detected as contour point (x, y), consider each centrer location a within [x - r, x + r] and accumulates in the accumu. matrix a value at the two locations $(a, y - \sqrt{r^2 - (x - a)^2})$ and $(a, y + \sqrt{r^2 - (x - a)^2})$ that intersect the point (x, y)

If r is not know, the parameter space becomes (a,b,r)















Result examples:



• Ellipses:

5-parameter space (x_0, y_0, a, b, θ)

• Planes in 3D point clouds: (Limberger et al., 2015)

3-parameter space ($heta, \phi,
ho$)

• Generalized Hough Transform (GHT): (Ballard et al., 1981) Generalization to any shape described by contours points

Summary of the Hough Transform

- Fast method to detect simple shapes such as lines, circles, ellipses in contour images
- Can be applied to any curve represented by a Cartesian or parametric equation
- Use of polar coordinates to have a bounded parameter space
- Can be used to detect any shape with the Generalized Hough Transform

• Limitations:

- The quantization of the parameter space may cause under or over-detection
- Can give misleading results when objects happen to be aligned by chance.
- Detected lines are infinite lines described by their (m,c) values, rather than finite lines with defined end points.
- The computational cost can be high for a large parameter space

• Data: Road sign images



- Hough Circle Transform (HCT)
 - Compute a contour map (skimage.feature.canny)
 - Implement the HCT
 - Filter the Hough map with a non-maximum suppression: only keep maximum values in a l×l region (np.ravel, np.argmax)
 - Find a way to get the inner circle surrounding the limitation number (np.ravel, np.argsort, np.unravel_index)
 - Display it on the input image (np.meshgrid)

Pattern descriptors

Introduction

2 Shape descriptors

Contour descriptors

Region descriptors

Hough Transform

Practical nº1

3 Pattern descriptors

Dense Feature Extraction Keypoints/Local descriptors Global descriptors

Block-wise descriptors

4 Dimension reduction

Curse of Dimensionality

Principal Component Analysis

Application example

Practical nº2

What is a pattern?

 $\textbf{Definition:} \ \mathsf{Region} \ + \ \{\mathsf{Properties}\} \ (\mathsf{color}, \ \mathsf{orientation}, \ \mathsf{repetition})$

An object or object region with specific properties



What is a texture?

Definition: Repetitive spatial arrangement of pixels

Frequential space (Fourier Transform) well suited to exhibit texture information



 \rightarrow Dirac corresponding to spatially repetitive patterns

What is a texture?

But insufficient to describe complex image objects

















What would be the desired (or not) descriptor properties?

Definition: A set of features describing (a region of) the image

A "good" descriptor should provide: "similar" values for "similar" patterns

"different" values for "different" patterns

Properties:

- Rotation invariance: be able to identify the object, regardless of its orientation
- Translation invariance: even if the object is moved to a different location, it should be detected
- Scale invariance: should work even if the image is zoomed in or out
- Illumination invariance: should work even if there is change in brightness and contrast in the image
- Robustness to noise, deformations, occlusions
- Need for parameter tuning
- Time to compute
- Size
- ...

Descriptor Properties

What kind of descriptor?

Dense (all pixels)



Dense features

- Intensity/color
- Convolutions
- Local Binary Patterns (LBP)
- Mean LBP
- Multi-Block LBP
- LBP Histogram Fourier

- ...

Local (keypoints)



Keypoint detectors

- Harris corners
- Shi-Tomasi corners
- FAST corners
- SIFT keypoints
- SURF keypoints

- ...

Local descriptors

- SIFT
- SURF
- BRIEF
- ORB



Global (all pixels)

Global descriptors

- Co-occurrence matrix
- Fourier transform
- Histogram of dense features
- ...



Block-wise (all pixels)

Block-wise descriptors

- Block-wise histograms of dense features
- Histogram of Oriented Gradients (HOG)
- Gradient Field HOG

Dense features: Image transformations to exhibit features for each pixel
Local descriptor on Keypoints: Generally for image matching
Global descriptor: Single descriptor for the whole image (loss of spatiality)
Block-wise descriptor: Image divided into blocks to extract features → spatiality

Dense Feature Extraction

Definition: Each pixel is described by a set of features

• Intensities/colors of the initial image



Intensity

R

G



• Color spaces by linear and non-linear transformations (YUV, YCbCr, ...)



• Result of convolutional filtering

Edge detection (Sobel, Canny, ...)



Intensity



Sobel y

Learned filters (Deep learning)



Filtering 1



Filtering 3

Filtering 4

Definition: Binary code corresponding to differences with neighboring pixels

Motivation: Comparing the intensity of a pixel with the ones of its neighbors extracts a regular pattern information in the image, *i.e.*, a texture information.

- \rightarrow Efficient descriptor for texture classification and also face recognition
- \rightarrow Generates a map of the image size \rightarrow histogram \rightarrow classification system



Image

LBP map

*Harwood et al., Texture classification a by center-symmetric auto-correlation, using Kullback discrimination of distributions, Technical Reports, 1993

1) Define the neighborhood:

Originally a 3x3 patch (standard 8-neighborhood) was considered

Generalization to a circularly symmetric neighborhood of radius R^*



 \rightarrow Each pixel g_c has P neighbors $\{g_0, g_1, ..., g_{P-1}\}$ (ordered by convention)

 \rightarrow The case P= 8, R=1 corresponds to the standard square 8-neighborhood

- \rightarrow If R>1, the neighborhood can be computed by:
 - Nearest neighbor approach: selecting the closest pixel
 - Bilinear interpolation: averaging the 4 closest pixels

 * Ojala et al., Multiresolution gray-scale and rotation invariant texture classification with local binary patterns, PAMI, 2002

Local Binary Patterns Coding

2) Compute the LBP:

For each pixel g_c having P neighbors $\{g_0, g_1, ..., g_{P-1}\}$

1. Compute the intensity difference:

$$\{g_0 - g_c, g_1 - g_c, ..., g_{P-1} - g_c\}$$

2. Apply the sign function

$$\delta(x) = \begin{cases} 1 & \text{if} \quad x \geqslant 0 \\ 0 & \text{otherwise} \end{cases}$$

3. Weight according to binary coding

$$\mathsf{LBP}(g_c) = \sum_{p=0}^{P-1} 2^p \delta(g_p - g_c)$$

 \rightarrow This gives a unique code for each pattern \rightarrow 2^{P} different codes, P=8: uint8 coding

Case P = 8



LBP = 1 + 2 + 4 + 8 + 128 = 143

Local Binary Patterns Coding

Examples of patterns detected by the LBP:



LBP value for each pixel:



The LBP method has been extended over the years:

• Improved LBP (M-LBP): (Jin et al., 2004)

Comparison of all the pixels (including the central pixel) with the mean intensity of the neighborhood \rightarrow more discriminative power

• Multi-Block LBP (MB-LBP): (Zhang et al., 2007)

Capture micro- and macro- structure information by comparing average intensities of neighboring sub-regions (muti-scale) \rightarrow more efficient

• 3D LBP: (Fehr et al., 2007)

Straightforward extension of LBP to 3D volume data

• LBP and Fourier Transform (LBP-HF): (Ahonen et al., 2009) Combines LBP and Discrete FT \rightarrow invariance to rotation

LBP Summary

- Simple coding of texure pattern with many implementation variations
- Dense feature: a descriptor is computed for each pixel
- Efficient application to texture classification and face recognition

Advantages

- Robust to illumination variations
- Invariant to rotation and scale
- Computationally efficient \rightarrow interesting for large datasets and real-time

Disadvantages

- Sensitive to noise
- May fail to capture more global texture information
- Invariant to rotation (if rotation is important to charaterize textures)
- Does not explicitly capture color information

Keypoints

- ...

Definition: Locations of pixels containing relevant information for a targeted task

- Points with contrast variations in their neighborhood (texture)
- Corners to detect the edges of structures
- Body parts (arm, shoulder, knees) for pose estimation
- Face parts (eyebrow, lips, nose) for face recognition
- Specific fingerprint locations for identification



Keypoints

Generally used for image matching/registration:

 \rightarrow Keypoints = discriminant image locations that can be found in another point of view



How to detect such keypoints?

- Corner detection: Harris method
- Highly contrasted local extremum: Scale Invariant Feature Tranform (SIFT)

Principle: Detect the image corners using vertical and horizontal gradients

How to characterize a corner?

Homogeneous region: no change in intensity

Contour:

no change along the contour

Corner:

significant change in at least 2 directions









This information can be found in the gradients distribution



Method:

- 1)- Compute the gradient maps $\mathbf{I_x}$ and $\mathbf{I_y}$ (Sobel, Gaussian derivates, ...)
- 2)- For each region $l \times l$ around a pixel (x, y), compute the covariance matrix

of the gradient values $\mathbf{x}=\mathbf{I}_{\mathbf{x}}(x,y)^{l}$ and $\mathbf{y}=\mathbf{I}_{\mathbf{y}}(x,y)^{l}$

$$\mathbf{C}(\mathbf{x},\mathbf{y}) = \begin{bmatrix} \mathsf{Cov}(\mathbf{x},\mathbf{x}) & \mathsf{Cov}(\mathbf{x},\mathbf{y}) \\ \mathsf{Cov}(\mathbf{y},\mathbf{x}) & \mathsf{Cov}(\mathbf{y},\mathbf{y}) \end{bmatrix} = \begin{bmatrix} \sigma(\mathbf{x})^2 & \mathsf{Cov}(\mathbf{x},\mathbf{y}) \\ \mathsf{Cov}(\mathbf{y},\mathbf{x}) & \sigma(\mathbf{y})^2 \end{bmatrix}$$

 \rightarrow The eigen values (λ_1 , λ_2) give information about the variance and correlation between x and y (cf. PCA)

 Measure the dispersion by computing the map:

$$\mathbf{R}(x, y) = \det(\mathbf{C}) - k \operatorname{tr}(\mathbf{C})^2$$
$$= \lambda_1 \lambda_2 - k (\lambda_1 + \lambda_2)^2$$

with $k \in [0.04, 0.06]$ empirically set, λ_1, λ_2 the eigen values of ${\bf C}$



 \rightarrow The highest values of ${\bf R}$ correspond to the most probable corners

4)- Non-Maximum Suppression (NMS):

To avoid over-detection, only keep the maximum values in a 3×3 region

5) Corner selection:

Select the top-N values or all locations where ${f R}>$ threshold

Examples: with l = 3 and corners such as $\mathbf{R} > 0.001$





Examples: with l = 3 and top-50 corners



Image





Corner detection



 ${\bf R}$ after NMS

Properties:

- Invariant to rotation
- Non-invariant to scale !

For example, a corner can become an edge when the image is scaled but the detector is operating over the same window size.



 \rightarrow Scale-invariant Feature Transform (SIFT) keypoint detector

Definition: Highly contrasted local extremum keypoint detection and description based on gradient orientations

Motivation: Provide for an image, a robust set of rotation and scale-invariant keypoints, contrary to Harris detector, also described by a rotation and scale-invariant descriptor

The SIFT method = **SIFT keypoints** (+ SIFT descriptor)


SIFT Keypoints

Idea: Create a *scale space* with the image resized at lower scales and blurred at different levels to detect significant corners and patterns at different resolutions

Keypoint detection method:

- 1) Scale space: Gaussian blurring at different scales
- 2) Difference of Gaussian (DoG): between images at the same scale
- 3) Detection of keypoints: extremum in the DoG space
- 4) Filtering of keypoints: edge and low-contrast



SIFT Keypoints - 1) Scale space

- Different scales: The keypoints should be scale-invariant

The image $\mathbf{I}_{(h,w)}$, is resized at different scales i: $\mathbf{I}_{(\frac{h}{2},\frac{w}{2})}^{i}$

The number of scales, or octaves (music analogy), is generally set to 4

- Gaussian blur: Cancels the impact of noise

For a Gaussian filter **G** of variance σ : $\mathbf{L}^{i}(x, y, \sigma) = \mathbf{G}(x, y, \sigma) * \mathbf{I}^{i}(x, y)$ Generally, 5 noise scales $\{\sigma_{0}, k\sigma_{0}, k^{2}\sigma_{0}, ...\}$ with $k = \sqrt{2}$, $\sigma_{0} = 1.6$



SIFT Keypoints - 2) Difference of Gaussians (DoG)

Differences between the blurred images at the same scale:

$$\mathbf{D}^i(x,y,\sigma) = \mathbf{L}^i(x,y,k\sigma) - \mathbf{L}^i(x,y,\sigma)$$

 \rightarrow In $\mathbf{D}^i,$ only remaining objects observable between the scale $[\sigma,k\sigma]$



SIFT Keypoints - 3) Detection of keypoints

Keypoint selection: The extremum of the DoG with respect to their immediate neighbors, i.e. on the set containing 26 other points defined by:

 $\{\mathbf{D}^{i}(x+\delta_{x},y+\delta_{y},s\sigma),\ \delta_{x}\in\{-1,0,1\},\delta_{y}\in\{-1,0,1\},s\in\{k^{-1},1,k\}\}$



Refinement step: To better localize extremum detected at higher scales

SIFT Keypoints - 4) Filtering of keypoints

- Low contrast filtering to remove keypoints in non highly textured areas Thresholding of ${\bf D}$ values
- Edge filtering similar to Harris, on the ratio r of the eigen values of the Hessian matrix \mathbf{H} , with a threshold r_{th} generally set to 10:

$$\mathbf{H} = \begin{bmatrix} \frac{\partial^2 D}{\partial x^2} & \frac{\partial^2 D}{\partial y \partial x} \\ \frac{\partial^2 D}{\partial x \partial y} & \frac{\partial^2 D}{\partial y^2} \end{bmatrix} \qquad R = \frac{\mathsf{tr}(\mathbf{H})^2}{\mathsf{det}(\mathbf{H})} = \frac{(\lambda_1 + \lambda_2)^2}{\lambda_1 \lambda_2} = \frac{(r+1)^2}{r} < \frac{(r_{th} + 1)^2}{r_{th}}$$



Final SIFT keypoints

How to describe the local information at the detected keypoints?

For image matching \rightarrow Scale and rotation-invariant features

1) Compute the keypoint main orientation:

Histogram (36-bins) of gradient orientations in a neighborhood

Contributions weighted by a Gaussian windows depending on $k\sigma,$ the scale of the detected keypoint



Main orientation associated to the keypoint \rightarrow rotation-invariance

SIFT descriptor

- 2) Compute the final rotation-invariant descriptor:
 - Aggregation into 8-bin histograms of gradient orientations in a 16x16 neighborhood divided into 4x4 cells, each one containing 4x4 pixels Same Gaussian spatial weighting of contributions
 - Translation of minus main orientation \rightarrow Rotation-invariance
 - Concatenation of 4x4=16 histograms \rightarrow Descriptor of size 16x8=128

Normalization of the histogram $(\|.\|_2 = 1) \rightarrow \text{Illumination invariance}$



 \rightarrow See HOG section for more details on histogram construction

Definition: Occurrences of two neighboring pixels values according to an offset

Method: For an image I of size $h \times w$

- Define a range of \boldsymbol{p} values

(the unique values of the image or the possible range, e.g., [0 255])

- Define a set of pixel offsets \varDelta_x, \varDelta_y ([0,1], [-1,1], ...)
- For each offset, compute the co-occurrence matrix :

$$C_{\Delta_x,\Delta_y}(i,j) = \sum_{x=1}^w \sum_{y=1}^h \begin{cases} 1, & \text{if } I(x,y) = i \text{ and } I(x + \Delta_x, y + \Delta_y) = j \\ 0, & \text{otherwise} \end{cases}$$



Co-occurrence matrix $C_{1,0}$

Co-occurrences matrix

Examples:



Frequential space

Loss of spatiality but dense space of the image size



Image

Fourier Transform (module)

Global Histogram

Dense features averaged over the whole image, e.g., with a histogram \rightarrow Provides a global descriptor of reduced size

Example: Global histogram on pixel intensities/colors:





Global histogram

Histogram of the LBP map:



 $ightarrow 2^{P}$ -bin histogram(s) vector(s) as descriptor ightarrow classification system

 \rightarrow For RGB images, channels independently processed (3 maps/histograms)

Limitations: Loss of spatiality and potential blur of the information

From Global to Block-wise

- \rightarrow Dense features may also be synthesized in a block-wise manner
- \rightarrow Preserving some spatiality and descriptive capability of the descriptor
- \rightarrow Trade-off between accuracy and size/computational cost

Example: LBP for face recognition:



Definition: Block-wise histograms of gradient orientations

Motivation: The appearance and local shape of an object in an image can be described by the gradient intensity distribution and the direction of the edges

- \rightarrow Simplification of the representation only containing important information
- \rightarrow Plots of image pixel orientations and gradients on an histogram



Histogram of Oriented Gradients

Principle

Steps to compute HOG descriptors:

- 1) Preprocessing: Crop or resize images (generally Xx8xYx8 pixels)
- 2) Compute gradient images: orientation and magnitude
- 3) Compute histograms of gradients: generally in 8x8 pixels cells
- 4) Block normalization: to normalize contrasts in blocks (generally 2x2 cells)

Visualization:



In each cell, histogram gradients are represented by orthogonal overlapping lines. Their intensity and direction depend on the gradient magnitude and orientation.

Histogram of Oriented Gradients

HOG - 1) Preprocessing

- Crop and/or resize an image to fit to a standard size
- Only necessary to compare HOG descriptors of different regions or images, *e.g.*, in a classification system \rightarrow input features need to have the same size
- Ideally the image dimensions are multiple of the cell (4, **8**, 16, ...) or block (8, **16**, 32, ...) sizes



Original Image : 720 x 475

HOG - 2) Compute gradient images

- Gradients obtained by convolution with filters $h_x = \begin{bmatrix} 1 & 0 & -1 \end{bmatrix}$ and $h_y = h_x^T$
- Magnitude of the gradient: $g = \sqrt{gx^2 + gy^2}$ Orientation of the gradient: $\theta = \arctan(\frac{gy}{ax})$
- In case of a RGB image, the gradient in the highest component is selected



- The image is divided into cells, generally of size 8x8 pixels
- The orientations are considered regardless of their directions:



magnitudo a

- 9-bin histogram H built for each cell (a bin corresponds to a 20° angle)
- For each θ , the closest bins are proportionnaly filled with magnitude g
- A window of 20° is considered so 2 bins $H[i_1]$ and $H[i_2]$ are filled as:

$$\begin{split} i_1 &= \mathsf{E}\left(\frac{\theta}{20}\right) & \qquad \mathsf{H}[i_1] = \mathsf{H}[i_1] + \frac{20*(i_1+1)-\theta}{20}*g\\ i_2 &= \mathsf{mod}(i_1+1,9) & \qquad \mathsf{H}[i_2] = \mathsf{H}[i_2] + \frac{\theta-20*i_1}{20}*g \end{split}$$

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2 3 4 4 3 4 2 2 5 11 17 13 7 9 3 4 11 12 23 27 22 17 4 6 23 9 165 135 82 26 2 11 51 133 64 2 2 17 4 6 10 155 135 82 126 2 2 17 4 6 10 155 135 163 126 27 2 17 4 6 11 13 145 152 57 28 2 120 70 14 168 159 2 19 196 60 170 51 51 66 61 10 11 10 10 10 11 10 10 10 10 10 10 11 10 </th <th colspan="9">magnitude 9</th>	magnitude 9								
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	0		1	2	3	4	5	6	7	8	

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- Local gradients are sensitive to image illumination \rightarrow normalization
- Cells are gathered into overlapping blocks of 2x2 cells
- In each block the 4 histograms are concatenated \rightarrow 36-bin histogram $\rm H_b$
- Each value of the 36-bin histogram is normalized by the norm:

$$\mathbf{H}_{\mathrm{b}}[i] = \frac{\mathbf{H}_{\mathrm{b}}[i]}{\sqrt{\sum\limits_{i=1}^{36} \mathbf{H}_{\mathrm{b}}[i]^{2}}}$$



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 \rightarrow On this example on size 128x64 pixels:

16x8 cells (8x8 pixels) 15x7 blocks (16x16 pixels)

- \rightarrow The whole image information is summarized by:
 - a $15 \times 7 \times 36 = 3780 \times 1$ feature vector

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- In each block the 4 histograms are concatenated \rightarrow 36-bin histogram $\rm H_b$
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- HOG is a feature descriptor based on gradient's magnitude and direction
- The local information is gathered in a 9-bin histogram to describe patterns
- Block normalization can be further used to make the model more optimal and less biased by illumination differences → 36-bin histogram.
- However, HOG are not rotation-invariant
- All the histograms form a HOG descriptor that can be used as feature for a recognition or classification system (faces, cars, clothes, actions, etc).

HOG vs (Dense) SIFT

- SIFT descriptor is meant to describe keypoints (4x4 HOG in a centered Gaussian 16x16 window) and is rotation-invariant \rightarrow efficient for matching
- A dense SIFT (computed for each pixel) would be very costly while non-robust to noise
- HOG is meant to describe patterns, and is computed on the whole image with normalization mechanisms \rightarrow should perform better for classification

Dimension reduction
Introduction

- 2 Shape descriptors
 - Contour descriptors
 - Region descriptors
 - Hough Transform
 - Practical nº1
- B Pattern descriptors
 - Dense Feature Extraction Keypoints/Local descriptors Global descriptors Block-wise descriptors

4 Dimension reduction

- Curse of Dimensionality
- Principal Component Analysis
- Application example
- Practical nº2

Curse of Dimensionality

Definition: Problems that arise when working with high-dimensional data

"As the dimensionality increases (feature sizes, classes), the number of data points required for good performance of any machine learning algorithm increases exponentially. "



Example of descriptor sizes for a 128x64 pixels image:

- Block-wise LBP: (histogram size x number of cells) = $256 \times 16 \times 8 = 32768$
- HOG: (histogram size x number of blocks) = $36 \times 15 \times 7 = 3780$

Effet on distance functions:

For any point A, lets assume $dist_{\min(A)}$ and $dist_{\max(A)}$ are the respective minimum and maximum distances between A and another point.

In 1D, 2D, or 3D data space:

$$(dist_{\max}(A) - dist_{\min}(A))/dist_{\min}(A) > 0$$

But, as the number of dimensions increases:

$$\begin{split} \lim_{dim\to\infty} (dist_{\max}(A) - dist_{\min}(A))/dist_{\min}(A) \to 0 \\ & \text{so} \qquad dist_{\max}(A) \approx dist_{\min}(A) \end{split}$$

 \rightarrow Classification algorithms based on the distance measure, including k-NN (k-Nearest Neighbor) tend to fail when the number of dimensions is very high.

Solution: Reduce the feature space dimension by:

- Manually selecting the most useful subset of features
- Using dimension reduction methods such as PCA

History: Exploratory multivariate analysis method, introduced by Hotelling in 1933 following ideas from Pearson (1901)

Application domains: Data Science, Physics, Biology, Sociology, Marketing, Quality Control, ...

Data: set of individuals characterized by a set of quantitative variables

Objective: to summarize the initial variables using a small number of synthetic variables (the *principal components*) obtained from linear combinations

Use:

- Evaluate the similarities between individuals
- Condense the representation of data while preserving as much as possible their global organization
- Allow a visualization of the preponderant organization of data thanks to a projection on a low-dimensional space (2D, 3D)
- Prepare other analyzes by eliminating redundant variables and the directions in which the variance of the data is very small

Example 1: Eating in the UK

Data with 17 variables... How to visualize similarity between countries?

	England	N Ireland	Scotland	Wales	Wale	s England Scotlan
Alcoholic drinks	375	135	458	475	-300	-200 -100 0
Beverages	57	47	53	73		10
Carcase meat	245	267	242	227		10
Cereals	1472	1494	1462	1582		
Cheese	105	66	103	103	400	
Confectionery	54	41	62	64		
Fats and oils	193	209	184	235	300-	Wales
Fish	147	93	122	160	200 -	•
Fresh fruit	1 102	674	957	1 137	100 -	England
Fresh potatoes	720	1033	566	874	pc2 0-	-
Fresh Veg	253	143	171	265	100-	
Other meat	685	586	750	803	-100-	
Other Veg	488	355	418	570	-200 -	Scotland
Processed potatoes	198	187	220	203	-300 -	•
Processed Veg	360	334	337	365	-400	
Soft drinks	1374	1506	1572	12 <mark>56</mark>	-300	-200 -100 0
Sugars	156	139	147	175		

pel 300 200 100 0 100 200 300 400 500 1D projection 10 projection 10 projection 10 projection 10 projection

Source: https://setosa.io/ev/principal-component-analysis/

N Ireland

Example 2: Digit Images

Directly consider the image pixels as variables



Source: https://www.researchgate.net/publication/365623663_ Confidence_is_key_Using_Gaussian_Process_Classifiers_to_improve_

On a 2D example

Data X, containing n samples/individuals, described by p=2 variables

$$\mathbf{X} = \begin{bmatrix} \mathbf{x}_{1}^{T} \\ \mathbf{x}_{2}^{T} \\ \vdots \\ \mathbf{x}_{n}^{T} \end{bmatrix} = \begin{bmatrix} x_{1,1} & x_{1,2} \\ x_{2,1} & x_{2,2} \\ \vdots \\ x_{n,1} & x_{n,2} \end{bmatrix} = \begin{bmatrix} 6 & 7 \\ 11 & 8 \\ 16 & 9 \\ 22 & 11 \\ \vdots \\ 12 & 9 \\ 8 & 8 \\ 5 & 8 \end{bmatrix} \xrightarrow{t_{0}} t_{0}$$

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How to find a discriminant projection base $\{v_1, v_2\}$?

On a 2D example

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How to find a discriminant projection base $\{v_1, v_2\}$?

Criteria: Maximizing the dispersion/inertia, *i.e.*, the distance after projection **Solution:** The eigen vectors of the covariance matrix

Why the eigen vectors of the covariance matrix?

Objective: Find the subspaces maximizing the dispersion/inertia of the points

On a line: Which line maximizes the inertia of the orthogonal projections?

- Line given by the unitary vector \mathbf{v} such as $\|\mathbf{v}\|_2 = \mathbf{v}^T \mathbf{v} = 1$
- Projections on line v

$$d(O, P_i) = \mathbf{x}_i^T \mathbf{v} = \|\mathbf{x}_i\|_2 \cos(\mathbf{x}_i, \mathbf{v})$$

 Maximizing the dispersion of projections on the line is similar to optimizing the adjustment of the point cloud since

$$d(O, P_i)^2 = d(O, X_i)^2 - d(X_i, P_i)^2$$

• Total inertia of the points projected on the line:

$$\sum_{i=1}^{n} d^{2}(O, P_{i}) = \sum_{i=1}^{n} \left(\mathbf{x}_{i}^{T} \mathbf{v} \right)^{2}$$



Why the eigen vectors of the covariance matrix?

Dbjective: Maximize
$$\sum_{i=1}^{n} (\mathbf{x}_{i}^{T} \mathbf{v})^{2}$$
 with $\mathbf{v}^{T} \mathbf{v} = 1$

$$\sum_{i=1}^{n} (\mathbf{x}_{i}^{T} \mathbf{v})^{2} = \sum_{i=1}^{n} (\mathbf{x}_{i}^{T} \mathbf{v})^{T} (\mathbf{x}_{i}^{T} \mathbf{v}) = \sum_{i=1}^{n} \mathbf{v}^{T} (\mathbf{x}_{i} \mathbf{x}_{i}^{T}) \mathbf{v} = \mathbf{v}^{T} \left[\sum_{i=1}^{n} \mathbf{x}_{i} \mathbf{x}_{i}^{T} \right] \mathbf{v}$$

$$= \mathbf{v}^{T} \mathbf{X}^{T} \mathbf{X} \mathbf{v}$$

Resolution: Lagrangien multiplier method under the constraint $\mathbf{v}^T \mathbf{v} = 1$

- Lagrangien: $L(\mathbf{v}) = \mathbf{v}^T \mathbf{X}^T \mathbf{X} \mathbf{v} \alpha (\mathbf{v}^T \mathbf{v} 1)$
- Partial derivative: $\frac{\partial L}{\partial \mathbf{v}}(\mathbf{v}) = 2\mathbf{X}^T \mathbf{X} \mathbf{v} 2\alpha \mathbf{v}$

• Solving
$$\frac{\partial L}{\partial \mathbf{v}}(\mathbf{v}) = 0$$
 to get extremas: $\frac{\partial L}{\partial \mathbf{v}}(\mathbf{v}) = 0 \Leftrightarrow \mathbf{X}^T \mathbf{X} \mathbf{v} = \alpha \mathbf{v}$

 \rightarrow Standard eigen vector/value problem ($\alpha = \lambda$)

 $\label{eq:constraint} \begin{array}{ll} \rightarrow \mathbf{v}^T \mathbf{X}^T \mathbf{X} \mathbf{v} = \lambda \mathbf{v}^T \mathbf{v} = \lambda & \text{ so } \lambda \text{ is the highest eigen value} \\ & \text{ and } \mathbf{v} \text{ the corresponding eigen vector} \end{array}$

- The exact same resolution gives all the other vectors of the projection base maximizing the inertia, as the eigen vectors of X^TX
- In practice, eigen vectors of the covariance matrix $\mathbf{C} = \frac{\mathbf{X}^T \mathbf{X}}{n-1}$

Principle

Data \mathbf{X} , containing n samples/individuals, described by p variables

$$\mathbf{X} = \begin{bmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \\ \dots \\ \mathbf{x}_n \end{bmatrix} = \begin{bmatrix} x_{1,1} & x_{1,2} & \dots & x_{1,p} \\ x_{2,1} & x_{2,2} & \dots & x_{2,p} \\ \dots & \dots & \dots & \dots \\ x_{n,1} & x_{n,2} & \dots & x_{n,p} \end{bmatrix}$$

with variable average
$$\mu_j = \frac{1}{n} \sum_{i=1}^n x_{i,j}$$
 and variance $\sigma_j^2 = \frac{1}{n-1} \sum_{i=1}^n (x_{i,j} - \mu_j)^2$

Steps to perform a PCA:

- 1) Data standardization: centering ($\mu_j=0$), normalization ($\sigma_j=1$)
- 2) Computation of the covariance matrix $\mathbf{C} = \frac{\mathbf{X}^T \mathbf{X}}{n-1}$
- 3) Computation of a discriminant projection base (eigen vectors/values of C)
- 4) Data projection towards a potentially lower space ($k \ll p$)

PCA - 1) Data standardization

• General PCA: Raw input data

- Analysis based on the natural zero of some variables

- Centered PCA: Centered variables $(\mu_j = 0)$
 - Easier interpretation based on the gravity center
 - With variables that are directly comparable
 - A high variance variable may largely impact the PCA
- Standardized PCA: Centered ($\mu_j = 0$) and reduced
 - $(\sigma_j = 1)$ variables
 - All variables are normalized and directly comparable
 - A noise variable gets the same variance as informative ones

Conclusion

- \rightarrow Depends on the type of data
- \rightarrow Generally easier to center the data
- \rightarrow If the variables are in different units, the reduction seems necessary

 X_1

 X_2

 X_{2}

PCA - 1) Data standardization: on a 2D example



PCA - 2) Computation of the covariance matrix

Covariance: For two vectors \mathbf{x} and \mathbf{y} of size n of mean μ_x and μ_y

$$\mathsf{Cov}(\mathbf{x}, \mathbf{y}) = \frac{\sum_{i=1}^{n} (x_i - \mu_x) (y_i - \mu_y)}{n - 1}$$

 \rightarrow Measures the correlation between the two vectors

Interpretation:

- |Cov(x, y)| >> 0: indicates high correlation between variables
- Cov(x, y) > 0: the variables vary in the same manner (positive correlation)
- $\mathsf{Cov}(\mathbf{x}, \mathbf{y}) < 0$: the variables vary in opposite manner (negative correlation)
- Cov(x, y) = 0: the variables are independent

Remarks:

- $Cov(\mathbf{x}, \mathbf{x}) = \sigma(\mathbf{x})^2$ the variance of \mathbf{x}
- $Cov(\mathbf{x}, \mathbf{y}) = Cov(\mathbf{y}, \mathbf{x})$

Covariance matrix:

$$\mathbf{C} = \begin{bmatrix} \mathsf{Cov}(\mathbf{x}, \mathbf{x}) & \mathsf{Cov}(\mathbf{x}, \mathbf{y}) \\ \mathsf{Cov}(\mathbf{y}, \mathbf{x}) & \mathsf{Cov}(\mathbf{y}, \mathbf{y}) \end{bmatrix} = \begin{bmatrix} \sigma(\mathbf{x})^2 & \mathsf{Cov}(\mathbf{x}, \mathbf{y}) \\ \mathsf{Cov}(\mathbf{y}, \mathbf{x}) & \sigma(\mathbf{y})^2 \end{bmatrix}$$

 \rightarrow C is symmetric and summarizes the variance information

In our context:

Data $\mathbf{X}_{n \times p}$, containing n individuals, described by p variables

$$\mathbf{X} = \begin{bmatrix} x_{1,1} & x_{1,2} & \dots & x_{1,p} \\ x_{2,1} & x_{2,2} & \dots & x_{2,p} \\ \dots & \dots & \dots & \dots \\ x_{n,1} & x_{n,2} & \dots & x_{n,p} \end{bmatrix}$$

Covariance matrix $\mathbf{C}_{p imes p}$ computed on all variables $\mathbf{x}_{:,\mathbf{j}}$:

$$\mathbf{C} = \frac{1}{n-1} \mathbf{X}^T \mathbf{X}$$

 \rightarrow If the data X has been centered $(\mathbf{x}_{:,j} - \mu_j)$, we exactly get the previous covariance definition

PCA - 2) Computation of the covariance matrix: on a 2D example



PCA - 3) Computation of a discriminant projection base

C being built from $\mathbf{X}^T \mathbf{X}$, it is positive semidefinite.

 \rightarrow It admits a matrix decomposition using eigen values and vectors such that:

$$\mathbf{C} = \mathbf{P} \mathbf{\Lambda} \mathbf{P}^{-1} = \mathbf{P} \mathbf{\Lambda} \mathbf{P}^{T}$$

where:

• **P** contains the eigen vectors $\{\mathbf{v}_i\}$ that form an orthonormal basis $\mathbf{P} = [\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_p]$ with $\mathbf{v}_i^T \mathbf{v}_j = 0$ if $i \neq j$, and $\|\mathbf{v}_i\|_2 = 1$

Λ contains the eigen values {λ_i}

$$\mathbf{\Lambda} = \mathsf{Diag}(\{\lambda_i\}) = \begin{bmatrix} \lambda_1 & 0 & \dots & 0\\ 0 & \lambda_2 & \dots & 0\\ \dots & \dots & \dots & \dots\\ 0 & 0 & \dots & \lambda_p \end{bmatrix} \quad \text{with} \quad \lambda_1 \geqslant \lambda_2 \geqslant \dots \geqslant \lambda_p \geqslant 0$$

and $\omega_{\lambda_i} = \frac{\lambda_i}{\sum_{j=1}^p \lambda_j}$ the weight of each eigen value/vector

 \rightarrow Can be computed using eigs or svd (for singular value decompositions)

PCA - 3) Computation of a discriminant projection base: on a 2D example

On centered data ${\bf X}$



Eigen vector matrix: $\mathbf{P} = [\mathbf{v}_1 \ \mathbf{v}_2 \ \dots \ \mathbf{v}_k \ \dots \ \mathbf{v}_p]$

Projection matrix : $\mathbf{P}' = [\mathbf{v}_1 \ \mathbf{v}_2 \ \dots \ \mathbf{v}_k \ \mathbf{0} \ \dots \ \mathbf{0}]$

ightarrow To keep only the first k components, with the highest signification.

 \rightarrow Each component contains information from correlated variables.

Projection to the new space:

For an individual \mathbf{x} : $\mathbf{x}' = \mathbf{P}'^T \mathbf{x}$ For the whole data \mathbf{X} : $\mathbf{X}'^T = \mathbf{P}'^T \mathbf{X}^T$

 \rightarrow For a new individual $\mathbf{x} \notin \mathbf{X}$, possibility to directly apply the projection (after eventual standardization), without recomputing the projection matrix \mathbf{P} .

Reprojection to the original space:

For an individual \mathbf{x} : $\mathbf{x}'' = \mathbf{P}\mathbf{x}' = \mathbf{P}\mathbf{P}'^T\mathbf{x}$ For the whole data \mathbf{X} : $\mathbf{X}''^T = \mathbf{P}\mathbf{X}'^T = \mathbf{P}\mathbf{P}'^T\mathbf{X}^T$

PCA - 4) Data projection: on a 2D example

So for each individual \mathbf{x} , using a 2 dimension projection space

$$\mathbf{x}' = \mathbf{P'}^T \mathbf{x} = \begin{bmatrix} \mathbf{v}_1^T \\ \mathbf{v}_2^T \end{bmatrix} \mathbf{x} \quad \Leftrightarrow \quad \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{bmatrix} -0.97 & -0.26 \\ 0.26 & -0.97 \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



PCA - 4) Data projection: on a 2D example

So for each individual \mathbf{x} , using a 1 dimension projection space

$$\mathbf{x}' = \mathbf{P}'^T \mathbf{x} = \begin{bmatrix} \mathbf{v}_1^T \\ \mathbf{0} \end{bmatrix} \mathbf{x} \quad \Leftrightarrow \quad \begin{pmatrix} x' \\ 0 \end{pmatrix} = \begin{bmatrix} -0.97 & -0.26 \\ 0 & 0 \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

PCA - 4) Data projection: on a 2D example

Reprojection after simplification (1 dimensional space)

$$\mathbf{x}^{\prime\prime} = \mathbf{P}\mathbf{P}^{\prime T}\mathbf{x} = \begin{bmatrix}\mathbf{v}_1 \ \mathbf{v}_2\end{bmatrix} \begin{bmatrix} \mathbf{v}_1^T \\ \mathbf{0} \end{bmatrix} \mathbf{x} \quad \Leftrightarrow \quad x^{\prime\prime} = \begin{bmatrix} -0.97 & 0.26 \\ -0.26 & 0.97 \end{bmatrix} \begin{bmatrix} -0.97 & -0.26 \\ 0 & 0 \end{bmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$



PCA - A practical example: Superheros



The variables:

- 1) Have super powers (0 or 1)
- 2) Wear tights (between 1 and 3)
- 3) Work in teams (between 1 and 10)
- 4) Have a specific gear (between 1 and 10)
- 5) Man / Woman (1 or 0)

PCA - A practical example: Superheros

The individuals:



Superman



Batman



Spiderman



Hulk



Ironman



Catwoman



X-or



Daredevil



Wonderwoman



Bioman



x-men



Tortues ninjas

A practical example: Superheros

The data:

	1	2	3	4	5
	(powers)	(tights)	(team)	(gear)	(man/woman)
Superman	1	3	2	2	1
Batman	0	3	7	10	1
Spiderman	1	3	2	2	1
Hulk	1	1	1	1	1
Ironman	0	1	3	10	1
Catwoman	0	3	2	3	0
X-or	0	1	2	10	1
Daredevil	0	3	2	3	1
Wonderwoman	1	2	3	9	0
Bioman	0	3	10	10	0.6
X-men	1	2	8	7	0.5
Tortues Ninja	0	1	10	7	0.8

A practical example: Superheros

The data:

	1	2	3	4	5
	(powers)	(tights)	(team)	(gear)	(man/woman)
Superman	1	3	2	2	1
Batman	0	3	7	10	1
Spiderman	1	3	2	2	1
Hulk	1	1	1	1	1
Ironman	0	1	3	10	1
Catwoman	0	3	2	3	0
X-or	0	1	2	10	1
Daredevil	0	3	2	3	1
W onderwoman	1	2	3	9	0
Bioman	0	3	10	10	0.6
X-men	1	2	8	7	0.5
Tortues Ninja	0	1	10	7	0.8
mean	0.41	2.17	4.33	6.17	0.74

The data: after centering $(\mathbf{X}_{:,j} = \mathbf{X}_{:,j} - \mu_j)$

	1	2	3	4	5
	(powers)	(tights)	(team)	(gear)	(man/woman)
Superman	0.58	0.83	-2.33	-4.17	0.26
Batman	-0.42	0.83	2.67	3.83	0.26
Spiderman	0.58	0.83	-2.33	-4.17	0.26
Hulk	0.58	-1.17	-3.33	-5.17	0.26
Ironman	-0.42	-1.17	-1.33	3.83	0.26
Catwoman	-0.42	0.83	-2.33	-3.17	-0.74
X-or	-0.42	-1.17	-2.33	3.83	0.26
Daredevil	-0.42	0.83	-2.33	-3.17	0.26
Wonderwoman	0.58	-0.17	-1.33	2.83	-0.74
Bioman	-0.42	0.83	5.67	3.83	-0.14
X-men	0.58	-0.17	3.67	0.83	-0.24
Tortues Ninja	-0.42	-1.17	5.67	0.83	0.058
mean	0	0	0	0	0

The data: after centering $(\mathbf{X}_{:,j} = \mathbf{X}_{:,j} - \mu_j)$

	1	2	3	4	5
	(powers)	(tights)	(team)	(gear)	(man/woman)
Superman	0.58	0.83	-2.33	-4.17	0.26
Batman	-0.42	0.83	2.67	3.83	0.26
Spiderman	0.58	0.83	-2.33	-4.17	0.26
Hulk	0.58	-1.17	-3.33	-5.17	0.26
Ironman	-0.42	-1.17	-1.33	3.83	0.26
Catwoman	-0.42	0.83	-2.33	-3.17	-0.74
X-or	-0.42	-1.17	-2.33	3.83	0.26
Daredevil	-0.42	0.83	-2.33	-3.17	0.26
Wonderwoman	0.58	-0.17	-1.33	2.83	-0.74
Bioman	-0.42	0.83	5.67	3.83	-0.14
X-men	0.58	-0.17	3.67	0.83	-0.24
Tortues Ninja	-0.42	-1.17	5.67	0.83	0.058
mean	0	0	0	0	0
variance	0.49	0.83	2.9	3.3	0.31

PCA - A practical example: Superheros

The data: after centering and normalization
$$\left(\mathbf{X}_{:,j}=rac{\mathbf{X}_{:,j}-\mu_{j}}{\sigma_{j}}
ight)$$

	1	2	3	4	5
	(powers)	(tights)	(team)	(gear)	(man/woman)
Superman	1.2	1	-0.79	-1.3	0.83
Batman	-0.86	1	0.91	1.2	0.83
Spiderman	1.2	1	-0.79	-1.3	0.83
Hulk	1.2	-1.4	-1.1	-1.6	0.83
Ironman	-0.86	-1.4	-0.45	1.2	0.83
Catwoman	-0.86	1	-0.79	-0.96	-2.4
X-or	-0.86	-1.4	-0.79	1.2	0.83
Daredevil	-0.86	1	-0.79	-0.96	0.83
W onderwoman	1.2	-0.2	-0.45	0.86	-0.2.4
Bioman	-0.86	1	1.9	1.2	-0.46
X-men	1.2	-0.2	1.2	0.25	-0.78
Tortues Ninja	-0.86	-1.14	1.9	0.25	0.19
mean	0	0	0	0	0
variance	1	1	1	1	1

The covariance matrix:

$$\mathbf{C} = \begin{bmatrix} 1.00 & 0.03 & -0.29 & -0.47 & -0.09 \\ 0.03 & 1.00 & 0.01 & -0.27 & -0.17 \\ -0.29 & 0.01 & 1.00 & 0.53 & -0.11 \\ -0.47 & -0.27 & 0.53 & 1.00 & -0.11 \\ -0.10 & -0.17 & -0.11 & -0.11 & 1.00 \end{bmatrix}$$

Eigen values and vectors: $(\lambda_1 \ge \lambda_2 \ge ... \ge \lambda_5 \ge 0)$

$$\begin{array}{lll} \lambda_1 = 1.91 & \mathbf{v}_1 = [0.51, 0.19, -0.54, -0.64, 0.06]^T & \omega_{\lambda_1} = 0.38 \\ \lambda_2 = 1.20 & \mathbf{v}_2 = [-0.09, -0.64, -0.25, 0.01, 0.72]^T & \omega_{\lambda_2} = 0.24 \\ \lambda_3 = 0.91 & \mathbf{v}_3 = [0.50, -0.65, -0.04, 0.18, -0.54]^T & \omega_{\lambda_3} = 0.18 \\ \lambda_4 = 0.64 & \mathbf{v}_4 = [0.60, 0.08, 0.69, -0.05, 0.39]^T & \omega_{\lambda_4} = 0.13 \\ \lambda_5 = 0.32 & \mathbf{v}_5 = [0.35, 0.34, -0.41, 0.74, 0.20]^T & \omega_{\lambda_5} = 0.07 \end{array}$$

Eigen vector matrix:

$$\mathbf{P} = \begin{bmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \mathbf{v}_3 & \mathbf{v}_4 & \mathbf{v}_5 \end{bmatrix} = \begin{bmatrix} 0.51 & -0.09 & 0.50 & 0.60 & 0.35 \\ 0.19 & -0.64 & -0.65 & 0.08 & 0.34 \\ -0.54 & -0.25 & -0.04 & 0.69 & -0.41 \\ -0.64 & 0.01 & 0.18 & -0.05 & 0.74 \\ 0.06 & 0.72 & -0.54 & 0.39 & 0.20 \end{bmatrix}$$

Projection matrix ($p = 5 \rightarrow k = 3$) :

$$\mathbf{P}' = \begin{bmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \mathbf{v}_3 & \mathbf{0} & \mathbf{0} \end{bmatrix} = \begin{bmatrix} 0.51 & -0.09 & 0.50 & 0 & 0\\ 0.19 & -0.64 & -0.65 & 0 & 0\\ -0.54 & -0.25 & -0.04 & 0 & 0\\ -0.64 & 0.01 & 0.18 & 0 & 0\\ 0.06 & 0.72 & -0.54 & 0 & 0 \end{bmatrix}$$

 \rightarrow To keep only the first k=3 components, with the highest signification. \rightarrow Each component contains information from correlated variables.

Projection to the new space:

For an individual
$$x$$
: $x' = \mathbf{P}'^T \bar{x}$
For the whole data X : $\mathbf{X}'^T = \mathbf{P}'^T \bar{\mathbf{X}}^T$

PCA - A practical example: Superheros

Visualization:



ightarrow In 2D or 3D, direct visualization of the proximity between individuals.

 \rightarrow For a new individual possibility to directly project in the same space.

PCA: Principal Component Analysis

Practical tool to measure and visualize the correlation between variables Enables to reduce the data dimension (curse of dimensionality)

• Method:

- 1) Data standardization: depends on the data nature
- 2) Covariance matrix: holds the correlation information
- 3) Projection base that fits the data (eigen vectors)
- 4) Projection into a reduced space

• Remark:

This method does not consider class information (unsupervised) Other unsupervised data reduction approaches exist such as:

- t-SNE (van der Maaten et al. 2008)
- UMAP (McInnes et al. 2018) that can also be supervised

- Data: Natural textures from [Cimpoi et al., 2014]
 - 10 different textures

For each texture, 120 images of size 256×256



- Histogram of Oriented Gradients (HOG)
 - Compute the HOG descriptor (skimage.feature.hog).
- Local Binary Pattern (LBP)
 - Implement the Local Binary Pattern method for R = 1, P = 8
 - Compute the global histogram (np.histogram)
 - Compute block-wise histograms (np.histogram)

• Supervised classification

• The train and test data are stored in 4 files: *I_train.npy*, *Y_train.npy*, *I_test.npy*, *Y_test.npy*.

For each of the 10 classes, we have 96 train and 24 test images.

- I_train.npy contains an array of 960 images (256×256×3×960)
- Y_train.npy contains a class array of size 960×1
- I_test.npy contains an array of 240 images (256×256×3×240)
- Y_test.npy contains a class array of size 240×1
- Compute the desired features for each image to create X_train and X_test of size 960xp and 240xp (with p the size of the descriptor)
- Evaluate the performance of the descriptor by using a supervised classifier (SVM)

• Dimension reduction

- Apply PCA on the descriptor
- Does it help to obtain better classification?
- Measure the computation time for each case
References

Slides inspired from:

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